

9

PATENT ✓

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF:

CASE NO.: **FA1048USNA**

**PAQUET JR. ET. AL.**

CONFIRMATION NO.: **3692**

APPLICATION NO.: **10/617,585**

GROUP ART UNIT:

FILED: **JULY 11, 2003**

EXAMINER:

FOR: **TWO COMPONENT COATING COMPOSITIONS  
AND COATINGS PRODUCED THEREFROM**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants would like to bring to the attention of the U.S. Patent and Trademark Office information that may be helpful in the examination of the above-identified patent application. All of the information is listed on the attached Form PTO/SB/08A. A copy of the information is also enclosed.

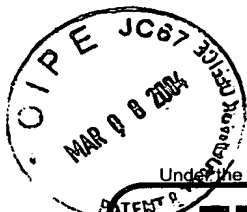
Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

03/11/2004 BSAYAS11 00000024 041928 10617585  
01 FC:1806 180.00 DA

Respectfully submitted,

  
**SUDHIR G. DESHMUKH**  
ATTORNEY FOR APPLICANTS  
Registration No.: 33,677  
Telephone: (302) 992-4385  
Facsimile: (302) 992-2533

Dated: March 3 / 2004



# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

## Complete if Known

|                      |                                  |
|----------------------|----------------------------------|
| Application Number   | 10/617,585                       |
| Filing Date          | 07/11/2003                       |
| First Named Inventor | DONALD ALBERT PAQUET JR. ET. AL. |
| Examiner Name        | UNKNOWN                          |
| Art Unit             | UNKNOWN                          |
| Attorney Docket No.  | FA1048 USNA                      |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

04-1928

E. I. du Pont de Nemours and Company

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid         |
|--------------|----------|--------------|----------|------------------------|------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |                  |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |                  |
| 1002         | 330      | 2002         | 165      | Design filing fee      |                  |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |                  |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |                  |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |                  |
| SUBTOTAL (1) |          |              |          |                        | (\$) <b>0.00</b> |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  - 20\*\* =  X  Fee from below **18.00** =   
Independent Claims  - 3\*\* =  X  **84.00** =   
Multiple Dependent ☐ YES  **280.00** =

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid         |
|--------------|----------|--------------|----------|--|------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |                  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |                  |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                          |                  |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |                  |
| 1204         | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |                  |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |                  |
| SUBTOTAL (2) |          |              |          |  | (\$) <b>0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid      |
|--------------|----------|--------------|----------|--|---------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |               |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |               |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |               |
| 1053         | 130      | 1053         | 130      | Non-English specification  |               |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |               |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |               |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |               |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |               |
| 1252         | 410      | 2252         | 205      | Extension for reply within second month                                    |               |
| 1253         | 930      | 2253         | 465      | Extension for reply within third month                                     |               |
| 1254         | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |               |
| 1255         | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |               |
| 1401         | 320      | 2401         | 160      | Notice of Appeal   |               |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |               |
| 1403         | 280      | 2403         | 140      | Request for oral hearing   |               |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |               |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |               |
| 1453         | 1,300    | 2453         | 650      | Petition to revive - unintentional   |               |
| 1501         | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |               |
| 1502         | 470      | 2502         | 235      | Design issue fee   |               |
| 1503         | 630      | 2503         | 315      | Plant issue fee  |               |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |               |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |               |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  | <b>180.00</b> |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |               |
| 1809         | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |               |
| 1810         | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |               |
| 1801         | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |               |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |               |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **180.00**

## SUBMITTED BY

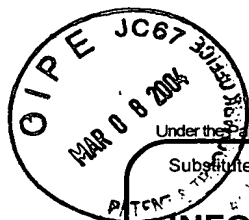
(Complete if applicable)

|                   |                    |                                   |        |           |                |
|-------------------|--------------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | SUDHIR G. DESHMUKH | Registration No. (Attorney/Agent) | 33,677 | Telephone | (302) 992-4385 |
| Signature         |                    | Date                              | 3/3/04 |           |                |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/617,585        |
| Filing Date            | JULY 11, 2003     |
| First Named Inventor   | PAQUET JR. ET AL. |
| Group Art Unit         |                   |
| Examiner Name          |                   |
| Attorney Docket Number | FA1048USNA        |

**U.S. PATENT DOCUMENTS**

| U.S. PATENT DOCUMENTS  |                          |  |           |                                |  |   |
|------------------------|--------------------------|--|-----------|--------------------------------|--|---|
| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            |           | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                          | Number – Kind Code <sup>2</sup> (if known) |           |                                |  |   |
|                        |                          | US -                                       | 4,605,719 | 08-12-1986                     | PEELEN   |   |
|                        |                          | US -                                       | 5,279,862 | 01-18-1994                     | CORCORAN ET AL.                                    |   |
|                        |                          | US -                                       | 5,286,782 | 02-15-1994                     | LAMB ET AL.  |   |
|                        |                          | US   | 5,314,953 | 05-24-1994                     | CORCORAN ET AL.                                    |   |
|                        |                          | US   | 6,221,494 | 04-24-2001                     | BARSOTTI ET AL.                                    |   |
|                        |                          | US -                                       | 6,297,320 | 10-02-2001                     | TANG ET AL.  |   |
|                        |                          | US   | 6,388,026 | 05-14-2002                     | CAMPBELL ET AL.                                    |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US   |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US -                                       |           |                                |  |   |
|                        |                          | US   |           |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sub>6</sub>                      |
|------------------------|--------------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|--|---|-------------------------------------|
|                        |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if<br>known) |                                |  |   |                                     |
|                        |                          | EP                        | 0171847             | B1                                   | 02/19/1986                     | AKZO N.V.  |   | <input type="checkbox"/>            |
|                        |                          |                           |                     |                                      |                                |  |   | <input checked="" type="checkbox"/> |
|                        |                          |                           |                     |                                      |                                |  |   | <input checked="" type="checkbox"/> |
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|                        |                          |                           |                     |                                      |                                |  |   | <input type="checkbox"/>            |
|                        |                          |                           |                     |                                      |                                |  |   | <input type="checkbox"/>            |
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Examiner  
SignatureDate  
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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